
Orbis Investments (U.K.) Limited

Reference number: 592777

This firm is authorised for **specific activities and product types**. It's important to check the full record for what regulated activities **this firm has permission to do**.

Firms we regulate may also carry out activities that are not regulated by either the FCA or the Prudential Regulation Authority (PRA). Complaints or claims about these unregulated activities may not be covered by the Financial Ombudsman Service (FOS) or the Financial Services Compensation Scheme (FSCS). If you are unsure whether an activity undertaken by a firm is regulated by us or the PRA, then you should ask the firm to confirm in writing what protections will be available to you if you need to make a complaint or claim compensation.

Who is this firm?

Firm details



Check details about this firm's place of business, contact details, etc.

Firms are required to confirm at least annually that these details are correct.

The firm has confirmed its firm details in the last 12 months.

Address

Wimbledon Bridge House
1 Hartfield Road
London
SW19 3RU
UNITED KINGDOM

Phone

442070422000

Email

Admin@orbenergy.trade

Website

<https://orbenergy.trade>

Firm reference number

592777

Registered company number

08138002

Firm status



Check this firm's status and any additional regulatory information.

Status

Authorised

Since 18/11/2013

This firm is authorised for **specific activities and product types**. It's important to check the full record for what regulated activities this firm has permission to do.

Type

Regulated

Trading names



This firm currently trades under 2 trading names.

Current names

Showing 2 results out of 2

Name	Status	Effective from
Orbis Investments (U.K.) Limited	Registered	28 Feb 2018
Orbis Investments	Trading	26 Feb 2018

Previous names

Showing 1 result out of 1

Name	Status	Effective from	Effective to
Orbis Access (UK) Limited	Registered	12 Dec 2012	28 Feb 2018


How are customers protected?

Protections and support



Understand the protections you have when dealing with this firm, and how to make a complaint.

[Customer protections and the Register](#)



Regulated activities performed by regulated firms or individuals are covered by a range of protections. Some of these protections are not through the FCA but are primarily through the Financial Ombudsman Service and the Financial Services Compensation Scheme.

The protections available relate to specific regulated activities. We recommend that you check below for the activities that this firm has FCA and/or PRA permission to provide before going ahead. You should also contact the firm and ask them to confirm the specific protections available to you.

The Financial Ombudsman Service may be able to consider a complaint about this firm

Complaints about regulated activities that this firm has permissions for may be covered by the Financial Ombudsman Service. If you have complained to the firm and they haven't responded or you aren't satisfied with the response, you can contact the **Financial Ombudsman Service** (<https://www.financial-ombudsman.org.uk/>) for help.

The Financial Services Compensation Scheme may be able to consider a claim against this firm if it fails

Claims made in connection with regulated activities that this firm has permissions for may be covered by the Financial Services Compensation Scheme. If this firm has failed, you should contact the **Financial Services Compensation Scheme** (<https://www.fscs.org.uk/>) to find out if it can help.

If you have a complaint about a firm

If you are concerned you've been scammed: consumers in England, Wales or Northern Ireland should immediately contact **FCA Contact Centre** (<https://fca.org.uk/contact>) and **Action Fraud** (<https://www.actionfraud.police.uk/>); consumers in Scotland should immediately contact **FCA Contact Centre** (<https://fca.org.uk/contact>) and **Police Scotland**. (<https://www.scotland.police.uk>).

Complain to the firm

Leighton Harris

Wimbledon Bridge House
1 Hartfield Road
London
SW19 3RU
UNITED KINGDOM

442070422000

leighton.harris@orbis.com

<https://orbenergy.trade>

Report to the FCA

If you have concerns about a firm listed on the Register, **[contact the FCA directly. \(https://www.fca.org.uk/contact\)](https://www.fca.org.uk/contact)**

If you suspect you have been contacted by an unauthorised firm or individual carrying out an FCA-regulated activity, report it to us using **[this form. \(https://www.fca.org.uk/report-scam-unauthorised-firm-individual\)](https://www.fca.org.uk/report-scam-unauthorised-firm-individual)**.

Help using the Register

If you have any concerns or difficulties using the Register you can contact the FCA and we will talk you through the record and answer any queries you may have.

What can this firm do in the UK?

Restrictions

Check the requirements placed on this firm. Requirements are restrictions governing the regulated activities that this firm can do.

Client Money

This firm must protect the money it holds and/or controls on behalf of customers. It cannot lend this money or use it to finance its own business.

Specific requirements may change this firm's ability to hold and/or control client money – see below for details.

Activities and services

Learn about the regulated activities that this firm has FCA and/or PRA permission to provide and other information related to specific non-regulated activities and services that may impact your business with a firm.



What to do with this information

These regulated activities are grouped into categories. You should check the specific activities within these categories relate to the business you want to do with the firm, not just the categories.

Firms we regulate may also carry out activities that are not regulated by either the FCA or the Prudential Regulation Authority (PRA). Complaints or claims about these unregulated activities may not be covered by the Financial Ombudsman Service (FOS) or the Financial Services Compensation Scheme (FSCS). If you are unsure whether an activity undertaken by a firm is regulated by us or the PRA, then you should ask the firm to confirm in writing what protections will be available to you if you need to make a complaint or claim compensation.

Once you have checked this page, you should contact the firm via their main contact details and ask them to confirm their permitted regulated activities.

If you don't understand whether the permissions cover the business you want to do, you should **contact the FCA**. (<https://www.fca.org.uk/contact>).

Investments

Managing a UK UCITS

Who is involved with activities at this firm?

Individuals

Individuals currently and previously involved in regulated activities at this firm.

You should check the details of any individual that you want to carry out regulated activities on your behalf, especially their current roles and any disciplinary or regulatory action on their record.

Current

Showing 10 results out of 20

Name	Individual reference number	Status	Role
<u>Chirag Patel</u>	CXP24031	Approved by regulator	[FCA CF] Material risk taker
		Certified / assessed by firm	SMF17 Money Laundering Reporting Officer (MLRO)
<u>Justin Matthew Willott</u>	JMW01096	Approved by regulator	[FCA CF] Material risk taker
		Certified / assessed by firm	SMF16 Compliance Oversight

Name	Individual reference number	Status	Role
<u>Daniel Maurice Brocklebank</u>	DMB01154	Approved by regulator	[FCA CF] Material risk taker
		Certified / assessed by firm	SMF1 Chief Executive SMF3 Executive Director
<u>Timothy James Vaughn Freeman</u>	TJF01065	Approved by regulator	[FCA CF] Material risk taker
		Certified / assessed by firm	SMF3 Executive Director
<u>Marcel Bradshaw</u>	MXB03948	Approved by regulator	[FCA CF] Material risk taker
		Certified / assessed by firm	SMF3 Executive Director
<u>James Jeremy Dorr</u>	JJD01037	Approved by regulator	[FCA CF] Material risk taker
		Certified / assessed by firm	SMF3 Executive Director
<u>Leighton Spencer Harris</u>	LSH01038	Approved by regulator	[FCA CF] CASS oversight function
		Certified / assessed by firm	[FCA CF] Material risk taker [FCA CF] Client dealing SMF3 Executive Director
<u>Jackson Hall</u>	JXH00457	Certified / assessed by firm	[FCA CF] Client dealing
<u>Reon Fourie</u>	RXF00058	Certified / assessed by firm	[FCA CF] Significant management
<u>Arandip Thandi</u>	AXT00199	Certified / assessed by firm	[FCA CF] Client dealing

Previous

Showing 10 results out of 14

Name	Individual reference number	Role
<u>Imran Gulamhuseinwala</u>	IXG01294	Director of firm who is not a certification employee or a SMF manager
<u>Leighton Spencer Harris</u>	LSH01038	CF1 Director CF10a CASS Oversight function
<u>Justin Matthew Willott</u>	JMW01096	CF10 Compliance Oversight CF10a CASS Oversight function CF11 Money Laundering Reporting SMF17 Money Laundering Reporting Officer (MLRO)
<u>Daniel Maurice Brocklebank</u>	DMB01154	CF1 Director
<u>Timothy James Vaughn Freeman</u>	TJF01065	CF1 Director
<u>Marcel Bradshaw</u>	MXB03948	CF1 Director
<u>James Jeremy Dorr</u>	JJD01037	CF1 Director
<u>Arjun Singh Parmar</u>	AXP00207	[FCA CF] Client dealing
<u>Sanghyun Lee</u>	SXL00236	[FCA CF] Client dealing
<u>Kevin Harbin Hughes</u>	KXH00092	[FCA CF] Client dealing

Who is this firm connected to?

Regulators

Current and former regulators of this firm.

